

20427 U.S. PTO  
033004

PTO/SB/05 (01-04)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 36618  
First Inventor Yukihiro Nitta  
Title Electrolytic Capacitor  
Express Mail Label No. EV386992105US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 33]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
- Oath or Declaration [Total Sheets 3]
  - ☒ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ Paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Check for \$810.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number: 000,116 OR ☐ Correspondence address below

Name \_\_\_\_\_  
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Name (Print/Type) Jeffrey J. Sopko Registration No. (Attorney/Agent) 27676  
Signature \_\_\_\_\_ Date 3/30/2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22782 U.S. PTO  
10/813332

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**PATENT**

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Attorney Docket No.: 36618

Mail Stop PATENT APPLICATION  
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Sir:

Transmitted herewith for filing by other than a small entity is the patent application of:

Inventor: Yukihiro Nitta, Hiroki Kusayanagi and Hiroyuki Matsuura.

For: Electrolytic Capacitor

One (1) sheet of informal drawings is included.

An assignment of the invention to Matsushita Electric Industrial Co., Ltd. is included along with a Recordation Form Cover Sheet. Please record and return the assignment to the undersigned.

Priority is claimed under 35 U.S.C. § 119 on the basis of the following foreign application: **Japanese Patent Application No. 2003-094938 filed March 31, 2003** A certified copy of this application will be forwarded.

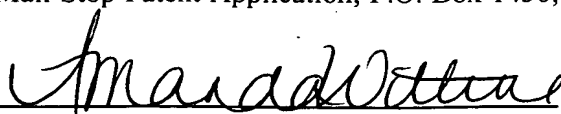
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Date of Deposit March 30, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Amanda Wittine

Printed Name of Person Mailing Paper or Fee

  
Signature of Person Mailing Paper or Fee

**CLAIMS AS FILED**

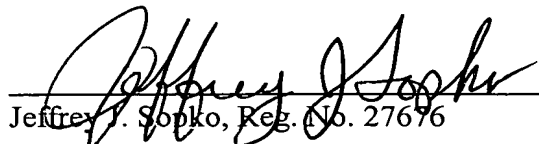
<u>For</u>	<u>Number</u>	<u>Rate</u>	<u>Fees</u>
Total claims in excess of 20:	0	\$18.00	\$0.00
Independent claims in excess of 3:	0	\$86.00	\$0.00
Multiple dependent claims, if any, add surcharge of \$290.00			\$0.00
Non-English Specification, add surcharge of \$130.00			\$0.00
		Basic Fee	\$770.00
		<b>TOTAL FILING FEE</b>	<b>\$770.00</b>
Assignment Recordal Fee of \$40.00			\$40.00
		<b><u>TOTAL FEE</u></b>	<b><u>\$810.00</u></b>

A check in the amount of the Total Fee calculated above is enclosed.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 16-0820, Order No. 36618.

Respectfully,

**PEARNE & GORDON LLP**

  
Jeffrey J. Sopko, Reg. No. 27676

Date: March 30, 2004